

Client Questionnaire

1. Estimated annual income

- Under \$25,000, Amount \$ _____
- \$25,000-\$50,000
- \$50,001-\$100,000
- \$100,001 - \$200,000
- Over \$200,000

2. Estimated Net Worth (Exclusive of Residence)

- Under \$30,000, Amount \$ _____
- \$30,000-\$50,000
- \$50,001 -\$100,000
- \$100,001-\$500,000
- \$500,001 - \$1,000,000
- Over \$1,000,000

3. Estimated Liquid Net Worth

- Under \$30,000, Amount \$ _____
- \$30,000-\$50,000
- \$50,001 -\$100,000
- \$100,001-\$500,000
- \$500,001 - \$1,000,000
- Over \$1,000,000

4. What do you want to accomplish with these assets?

- Preserve asset value
- Generate high current income
- Achieve asset growth with moderate current income
- Achieve strong asset growth with nominal income
- Achieve maximum asset growth

5. I am comfortable with investments that may go down from time to time, if they offer the potential for higher returns.

- Strongly disagree
- Disagree
- Somewhat agree
- Agree
- Strongly agree

6. Please check your age in the box below.

- 35 or under
- 36 - 45
- 46 - 55
- 56 - 64
- 65 or over

7. How long will it be before you begin to make withdrawals from this account?

- 0 to 1 years
- 1 to 2 years
- 2 to 4 years
- 4 to 6 years
- More than 6 years

8. Once you begin taking withdrawals from this account, how long will the money in the account have to last before it is depleted?

- Lump sum
- Less than 1 year
- 1 to 5 years
- 6 to 10 years
- 11 or more years

9. How much of your current income will need to be generated from this account?

- 0 - 5%
- 6 – 15%
- 16 – 30%
- 31 – 50%
- Over 50%

10. How long could you cover monthly living expenses with cash and investments you currently have on hand?

- 1 month or less
- 1 – 3 months
- 3 – 6 months
- 6 to 12 months
- 12 months or more

11. What is your outlook for your future income from sources other than investments over the next ten years?

- It will greatly decrease
- It will decrease, but not by much
- It will stay the same
- It will increase, but not by much
- It will greatly increase